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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Ichiro NAKAO et al.

Serial No. 09/837,293

: Attn: BOX MISSING PARTS

Filed April 19, 2001

: Docket No. 2001\_0463A

HANDWRITTEN CHARACTER RECOGNITION  
APPARATUS

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

**REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated June 14, 2001, submitted herewith is the required Declaration and Power of Attorney.

Approved  
CTS  
2/25/04

The requirement for substitute drawings is traversed because there are no flow charts in the drawing figures that contain text that is not in English. Furthermore, the meaning of the Japanese characters that are shown in the drawings, is not relevant to an understanding of the invention. The invention is directed to a character recognition apparatus, and thus, the particular characters used in the illustrated examples are not important. In view of the above, it is requested that the requirement for substitute drawings be withdrawn.

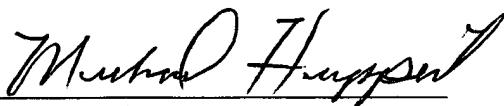
Also enclosed are the PTO surcharge of \$130.00, required by 37 CFR 1.16(e), and a copy of the Notice.

Favorable action on the merits is now requested.

Respectfully submitted,

Ichiro NAKAO et al.

By:



Michael S. Huppert  
Registration No. 40,268  
Attorney for Applicants

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August 9, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Ichiro NAKAO et al.

Serial No. 09/837,293

Filed April 19, 2001



: Attn: BOX MISSING PARTS

: Attorney Docket No. 2001\_0463A

HANDWRITTEN CHARACTER RECOGNITION APPARATUS

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

Attached hereto is a check in the amount of \$130.00 to cover Patent Office fees relating to filing the following attached papers:

Late filing of executed Declaration ..... \$130.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Ichiro NAKAO et al.

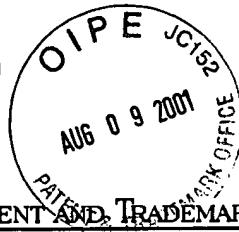
By

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August 9, 2001

[Check No. USA18]  
2001\_0463A



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/837,293	04/19/2001	Ichiro Nakao	2001_0463A

**CONFIRMATION NO. 9622**

000513  
WENDEROTH, LIND & PONACK, L.L.P.  
2033 K STREET N. W.  
SUITE 800  
WASHINGTON, DC 20006-1021

**FORMALITIES LETTER**



\*OC00000006179939\*

Date Mailed: 06/14/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

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130.00 DP

*A copy of this notice **MUST** be returned with the reply.*

*Shaine*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE